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## NYHEWNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Forbes	) Group Art Unit Unknown
App. No.	:	09/939,417	I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on  November 26, 2001  (Date)  John R. King, Reg. No. 34, 362
Filed	:	August 24, 2001	
For	:	VERTICAL TRANSISTOR WITH HORIZONTAL GATE LAYERS	
Examiner	:	Unknown	, )

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. Applicant respectfully directs the Examiner's attention to U.S. Patent No. 5,909,618, U.S. Patent No. 5,991,225, and U.S. Patent No. 6,124,729 as potentially related to the above-identified application and respectfully asks the Examiner to refer to any continuation or divisional applications that may exist for the above issued patents. This Information Disclosure Statement is being filed within three months of the filing date of this application or upon filing if this is a CPA or RCE, and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 11/26/01

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